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## FACSIMILE COVER SHEET

May 24, 2005

Receiver: U.S. Patent and Trademark Office

TEL #:

FAX #: (703) 872-9306

Sender: Susan W. Xu for Ramin Mahboubian

Our Ref. No.: SUN1P849

Re: Application No. 10/052,304

Pages Including Cover Sheet(s): (03)

### MESSAGE:

Sir:

Please deliver the attached Applicant Initiated Interview Request Form for the above referenced application to Examiner Khatri.

Please enter this in the file.

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#### CONFIDENTIALITY NOTE

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of: Masood Seyed Mortazavi

Attorney Docket No.: SUN1P849/P7352

Application No.: 10/052,304

Examiner: KHATRI, ANIL

Filed: January 17, 2002

Group: 2124

Title: ONLINE UPGRADE OF CONTAINER-  
BASED SOFTWARE COMPONENTS

Confirmation No.: 8384

**RECEIVED  
CENTRAL FAX CENTER****MAY 24 2005****CERTIFICATE OF FACSIMILE TRANSMISSION**I hereby certify that this correspondence is being transmitted by  
facsimile to fax number 703-872-9306 of the U.S. Patent and  
Trademark Office on May 24, 2005.

Signed: \_\_\_\_\_

Susan W. Xu

**APPLICANT INITIATED INTERVIEW REQUEST FORM**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## Tentative Participants:

- 1)
- R. Mahboubian**
- 
- 3)

- 2)
- 
- 4)

Proposed Date of Interview: **June 2, 2005**Proposed Time: **2:00 PM (Eastern Time)**

## Type of Interview Requested:

☒ Telephone    ☐ Personal    ☐ Video ConferenceExhibit to be Shown or Demonstrated: ☐ Yes    ☒ No  
If yes, provide brief description:**ISSUES TO BE DISCUSSED**

Issues (Rej., Obj., etc.)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
1) 102	Claims 1	Sharma et al.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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**BRIEF DESCRIPTION OF AGRUMENTS TO BE PRESENTED:**

14. (Currently Amended) A method of upgrading software in a object oriented computing environment; said method comprising:

loading an online upgrade module[[:]] , said online upgrade module including a first container based software component, an online upgrade listener and an online upgrade specification[[:]], wherein said first container based software component being is an upgrade of a second container based software component which is capable of operating in said object oriented computing environment;

notifying an online-upgrade controller to initiate an online upgrade process;

performing by the online-upgrade controller a plurality of one or more operations to facilitate online upgrade of said second container based software component to said first container based software component, wherein said plurality of operations are performed in multiple stages and said plurality of operations comprise of:

loading one or more listener classes associated with said online upgrade listener,

instantiating said one or more listener associated with said on-line upgrade listener, and

performing one or more callbacks via said on-line upgrade listener.

Propose adding features of claim 24 which is not taught or suggested by SHARMA.

An interview was conducted on the above-identified application on .

\*Note: This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP §713.01). This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFT 1.33(b)) as soon as possible.

\_\_\_\_\_  
(Applicant/Applicant's Representative)  
Signature)

\_\_\_\_\_  
(Examiner/SPE Signature)

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